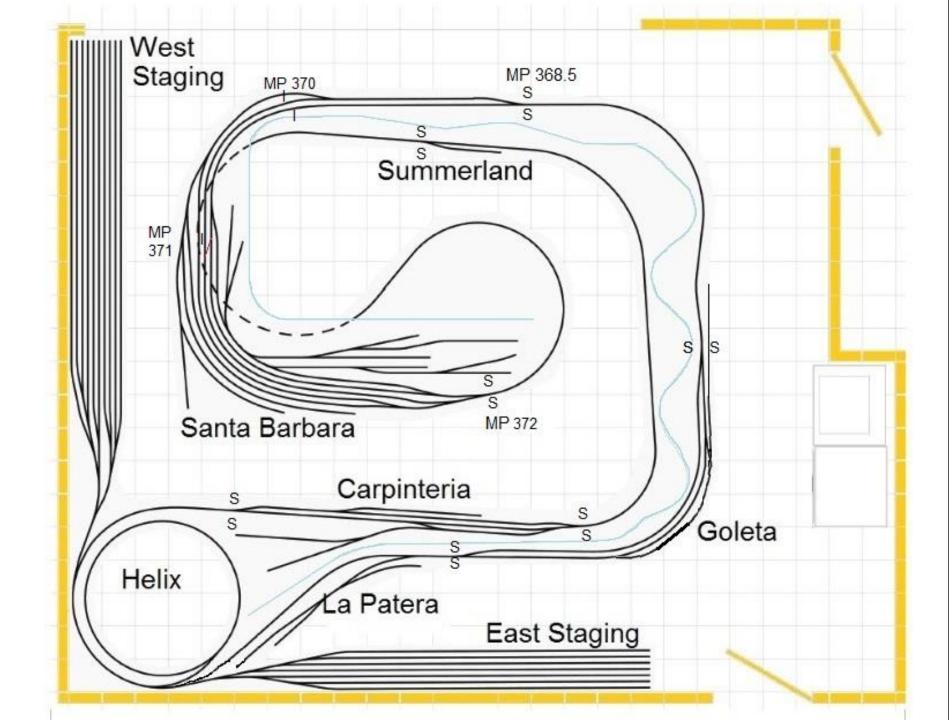


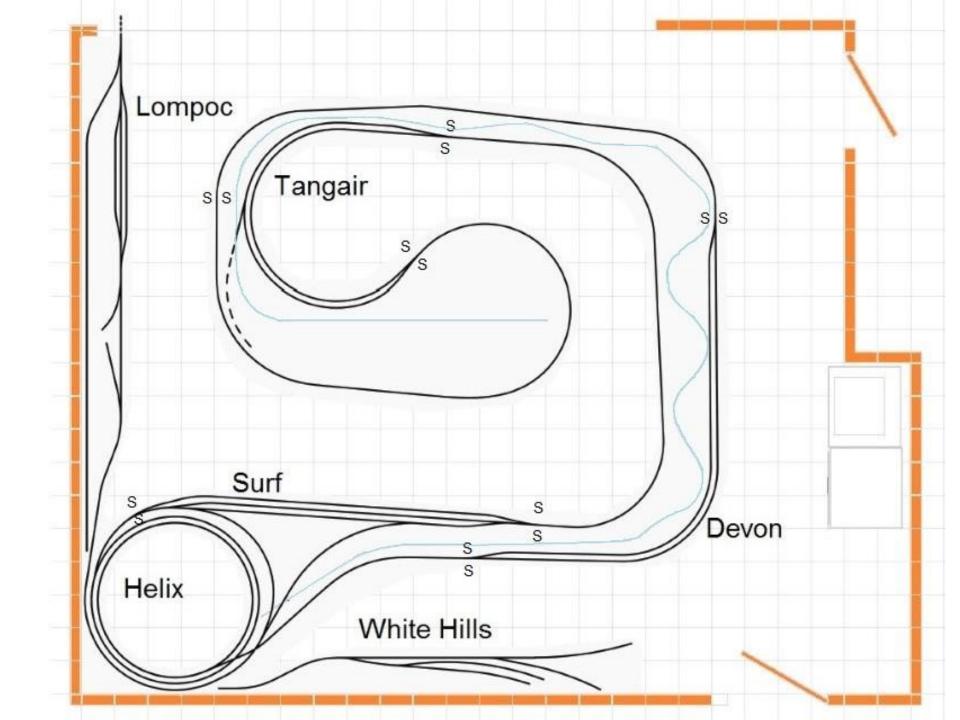


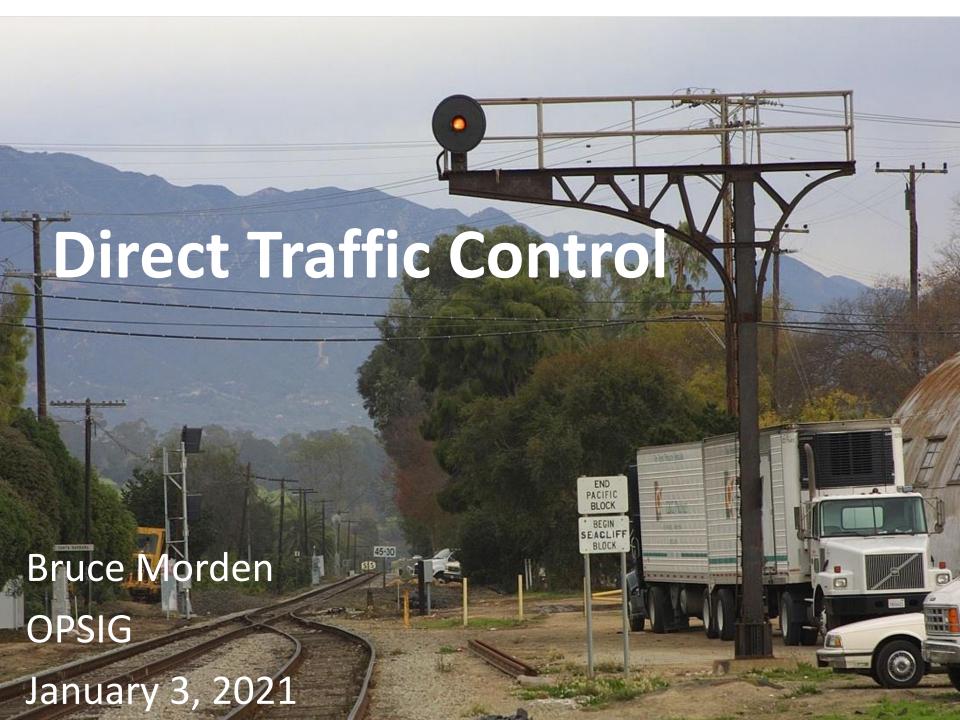
Location in the United States Coordinates: 4 34°25'N 119°42'W

Southern Pacific's Santa Barbara Subdivision spsbsub.blogspot.com









Overview

- Basics of Traffic Control and Methods
- DTC users
- DTC rules
- How it works
- References

Basics

- One train on a track at a time
- Methods of authorizing track authority
 - Timetable
 - Staff or Token
 - Train Orders
 - Direct Traffic Control
 - Track Warrant Control
 - CTC

Staff or Token

- Basically, one train on a track at a time.
- Like a relay race. You cannot run unless you have the baton/staff/token.



Timetable and Train Order

- Timetable is a train schedule. If all trains run on time, no need for train orders.
- Extra trains require train orders. Second sections require train orders. Regular schedules can be modified by train order.
- Class and directional superiority.
- Orders are sent to an operator, who copies the order, repeats it back to the dispatcher, gets an OK and then physically relays it to the train crew.
- Charles Minot, Erie RR 1851?

Timetable

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	10.45	1.11	6.15	1.23		3.43	112.19			4.53 416		113 CAMARILLO	3346	ACCOUNT NAMED IN		8.30	5.56	7.21	9.15		f 11.23		3.00	9.35	7.00	14W 62 20E	405.6 412.1 419.8	Lecadale	(Spur)
-	11.12	1.31	6.35	1.36		3.53	f12.36		6.12	5.06 427	.1	19 MOORPARK	3356			8.21	5.43	7.08	9.06		f11.12		2.50	9.25	6.50	aiw .		Strathearn	(Spur)
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-	11.52	2.11	7.15	2.05		4.13	f 1.07		6.34	5.33 445		CHATSWORTH	3375	28.4		8.02	5.19	6.44	8.47		f 10.50		2.05	8.40	6.05	Yd Imts P	454.1 460.5	Raymer Vega	
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				2.50 AM						9.15 480		TO-R LOS ANGELES YD.	3942	4.1			3.00 PM	5.40				10.45	1.00	7,35 AM	5.00 PM				
					7.00	5.05 PM	8 2,10	s 10.00	8 7.30 AM	AM 480		BKIYP	3.00	2.1	5.40	7.15 AM	PM	PM	8,00	8.30	10,00	19.45							
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Train Orders – TO

Siego cita		C. S. 2500
TRAIN ORDER No.	36 JUNE 19 1976	
То С & Е	SECOND 836	
At SANTA BAR	BARA X	Opr. M

SECOND 835 ENG 6599 WAIT AT
VENTURA UNTIL 701 AM
SEACLIFF 710 AM
CARPINTERIA 720 AM
ORTEGA 730 AM
FOR SECOND 836 ENG 6655

Repeated and Complete Time 627 A M BACON

1/	711	/	CLEARANCE			C. S. 2643
10	lan lo 12a	wara	Station	gues	ne 19	REV. 4.74
To C. &	E. Rec	and i	836	Display		Signals.
	1				"Green" for each r	
I have(orders f If no orders, insert "N	or your train a o".)	s follows:			
	(No. 2/	No	No	No	No	
2.	No. 00	No	No	No	No	
Orders	No	No	No	No	No	
	(No	No	No	No	No	
O.K. at_	627a	Μ,	Rm	Chief T	rain Dispatcher.	
Do not le	eave before	/	۸.			
		(Fill in this line	only when necessary to c			
			docor	Operator.	*Time	M.
the numb	nductor and each engi ber of orders, and t erators must retain a c be filled in only when o	he numbers of arbon copy.	a copy and see that the all orders received corr	ir train is correctly	designated above	, also th

Direct Traffic Control – DTC

- Talk directly to dispatcher
- Copy times on block form
- Acknowledge authority by repeating back to dispatcher
- Release blocks behind
- Specific block limits designated in timetable and marked with track side signs

Track Warrant Control – TWC

- Talk directly to dispatcher
- Copy authority on a form
- Repeat back to dispatcher
- Authority approved on correct read back
- Authority voided on subsequent track warrant
- No prescribed blocks

Track Warrant Control Forms

TRACK WARRANT
No,
To at
1. ☐ Track Warrant No is void.
2. Proceed from to
3. \square Proceed from to
4. \square Work between and
5. ☐ Not in effect until am / pm
6. \square This authority expires at am / pm
7. □ Not in effect until after arrival of at
at
8. \square Do not foul limits ahead of
9. ☐ Clear Main Track at last named point.
10. \square Hold Main Track at last named point.
11. ☐ Between & make all movements at restricted speed. Limits occupied by train or engine.
12. \square Do not exceed mph between &
13. ☐ Other specific instructions
14. □ Joint with between &
between &
Ok am / pm Dispatcher Copied
Reported clear at am / pm by
Reorder Micro-Mark #84187

	Track Warrant
NO To:	, 19, 19
10: 1. 2. 3. 4. 5. 6. 7.	At: Track Warrant NO Is Void.
8. 9. 10. 11.	Hold Main Track At Last Named Point. Do Not Foul Limits Ahead Of Clear Main Track At Last Named Point. Between And Make All Movements At Restricted Speed. Limits Occupied By Train.
12.	Between And Make All Movements At Restricted Speed. Limits Occupied By Men Or Equipment.
13.	Do Not Exceed MPH Between And
14.	Do Not Exceed MPH Between
15.	Flag Protection Not Required Against Following Trains On The Same Track.
16.	Track Bulletins In Effect,,,
17.	Other Specific Instructions:
	OK Dispatcher Relayed To Copied By Limits Reported Clear At By

Track Warrant Control Forms

BNSF Track
 Warrant Blank

No:	:		Date:	20
To:	_	At:	(on	Subdiv
1		Track Warrant No	*	is void
2		Proceed from		is void.
			on	track
3		Proceed from		The state of the s
			on	track
4		Work between		
			on	track
5		Not in effect until		track.
6		This authority expires at:		
7		Not in effect until after arrival of:		
			at	
8		Hold main track at last named point	t.	
9		Do not foul limits ahead of:		
10		Clear main track at last named poin	t.	
11			and	make all
		movements at restricted speed. Limit	its occupied by train	make an
12		Between	andand	make all
		movements at restricted speed. Lim	its occupied by men or equipment	make an
13		Do not exceedand	MPH between	
14			MPH between_	
• •	_	and	Wifh between	
15		Flag protection not required against	following trains on the same to all	
16	$\overline{\Box}$			
17		Other specific instructions: (joint wi	-,,,,,,,,,	
20		Be prepared to stop at following swi	itch(es) until known to be in normal po	osition:
21		Permission to leave following switch	h(es) in reverse position:	
Γhis	wa	rrant has boxes marked:	·, · · · · · · · · · · · · · · · · · ·	.,,
OK_			Dispatcher	
Rela	yed	to	Copied by	
imi	to m	eported clear at	By	

Centralized Traffic Control – CTC

 Dispatcher has complete control – Board automatically controls turnouts and signals.



Centralized Traffic Control – CTC

- Dispatcher has complete control Crews just follow signals – Red Light, Green Light
- No class or superiority
- First established in 1927 on the NYC in Ohio



 Video on CTC operation https://www.youtube.com/watch?v=GrvDbTjRVMM

DTC Users

- Southern Pacific system wide
- CNW ?
- KCS ?
- Alton & Southern ?
- Ferrocarriles Nacionales de Mexico used CDT (Control Directo de Trafico)
- Alaska Railroad
- CSX [ex-Georgia Railroad] east of Atlanta to Augusta, Toledo Sub?
- Queensland Rail

Rules

- Individual rulebooks for specific railroads
- Uniform Code of Operating Rules
- Consolidated Code of Operating Rules
- General Code of Operating Rules
- Northeast Operating Rules Advisory Committee (NORAC) rule book
- Canadian Rail Operating Rules

GCOR 16.0: RULES APPLICABLE ONLY IN DIRECT TRAFFIC CONTROL (DTC) LIMITS

- 16.1: Authority to Enter DTC Limits
- 16.1.1: Switches Between DTC Blocks
- 16.2: DTC Authority
- 16.3: Movement in a Specified Direction
- 16.3.1: Leaving the Main Track
- 16.4: Work and Time
- 16.5: Changing DTC Authority
- 16.6: Releasing DTC Authority
- 16.7: Communication Failure

GCOR 16.1

Authority to Enter DTC Limits

The timetable will designate DTC limits. A train may enter DTC limits only after receiving authority from the train dispatcher.

Men or equipment may be issued DTC authority in the same manner as trains.

DTC territory will not include territory where Rule 6.13 (Yard Limits) or Rule 6.14 (Restricted Limits) is in effect.

GCOR 16.1.1

Switches Between DTC Blocks

Switches between DTC blocks may be occupied only when authority includes at least one block on each side of the switch;

however, men or equipment may be authorized to occupy a switch located between DTC blocks without authority on each side of the switch when the DTC authority includes the name of the switch and the instructions "Switch Yes."

DTC authority must not be released until the rear of the movement has completely entered the adjoining block.

GCOR 16.2

DTC Authority

The train dispatcher will issue DTC authority to a crew member on the head end of the train when possible. An employee operating the controls of a moving engine or ontrack equipment may not copy DTC authority.

A. Recorded in Writing

When transmitted verbally, the employee who receives or releases DTC authority must record it in writing and include the following:

- 1. Name of first and last DTC block where authority is issued.
- 2. Time that work and time expires.
- 3. Train identity when DTC authority is issued behind a train or radio blocking behind a preceding train is in effect.
- 4. Time DTC authority is released to the train dispatcher.

DTC authority must not be transferred to a relieving crew, unless authorized to do so by the train dispatcher.

When verbal authority is received from the train dispatcher to leave equipment in a DTC block, the train dispatcher may

instruct a crew member to void the DTC authority.

Employees cannot act upon DTC authority until the train dispatcher says, "That is correct."

GCOR 16.2 continued

- DTC Authority
- B. Multiple Authorities

Not more than one DTC authority may be issued in the same DTC block except:

- 1. In ABS territory, as provided by Rule 16.3 (Movement in a Specified Direction), authority may be issued to more than one train in the same direction.
- 2. As provided by Rule 16.4 (Work and Time). Or
- 3. Where radio blocking is designated by special instructions, in non-signaled territory, more than one train may be authorized to proceed in the same direction within the same or overlapping limits, provided the following train:
- Is notified on DTC authority of the identity of the preceding train.
- Notifies the crew of the preceding train that radio blocking has been authorized stating the limits.
- Does not occupy the block limits ahead of the preceding train.
- Is notified by the preceding train that the entire train has cleared a specific block. Location specified must not be beyond block limits of the following train. The following words must be used: "(Train) clear of (block)."
- Does not proceed beyond the last block the preceding train has reported to have cleared.

All instructions between trains must be written, repeated, and acknowledged with "That is correct" before being acted on.

These written instructions between the trains must be retained until the end of tour of duty.

GCOR 16.2 continued

DTC Authority

Written Instructions Between Trains:

"(Preceding Train ID) has cleared (Block) at (Time)." When all available lines on DTC form have been filled in, new DTC authority must be obtained.

Notify the train dispatcher if communication cannot be established between the two trains. If necessary, radio blocking information may be relayed only by the train dispatcher.

The last named point of the following train's authority must not extend beyond the authority of the preceding train.

In the application of Rule 6.4 (Reverse Movements) and Rule 6.6 (Picking Up Crew Member), the movement must not enter the last block reported cleared to the following train.

GCOR 16.3

Movement in a Specified Direction

Issue Format

One or Two Blocks.

The train dispatcher will issue authority and an employee will acknowledge it using the following sample format:

Train Dispatcher: "RR 4321 East, with Engineer Jones, you are authorized to proceed Eastward in one block, Anna."

Crew Member: "RR 4321 East, with Engineer Jones, I am authorized to proceed Eastward in one block, Anna."

Train Dispatcher: "RR 4321 East, that is correct."

More than Two Blocks.

The train dispatcher will issue authority in more than two blocks using the following sample format:

Train Dispatcher: "RR 4321 East, with Engineer Jones, you are authorized to proceed Eastward in three blocks, Anna through Cloy."

GCOR 16.3.1

Leaving the Main Track

A train authorized to proceed in one direction must inform the train dispatcher when it leaves the main track before reaching the last named point, unless a crew member is left to prevent a following movement from passing.

GCOR 16.4

Work and Time

A. Issue Requirements

- Work and time authority may be issued to an employee in charge of ontrack equipment when:
- The DTC block is clear.
- The DTC block is occupied by a train and/or employee in charge of on-track equipment that has already been issued work and time. Before joint work and time may be issued, the train dispatcher must first notify the engineer of train or employee in charge of on-track equipment affected that the DTC block will be jointly occupied. All movements must be made at restricted speed within joint work and time limits. Or
- All trains issued Rule 16.3 (Movement in a Specified Direction) have passed the location where the track will be occupied, and the employee receiving the DTC authority is notified that work and time is granted behind such trains.

GCOR 16.4 Continued

Work and Time

A. Issue Requirements

- 2. Work and time authority may be issued to a train when:
- The DTC block is clear.
- The DTC block is occupied by a train and/or employee in charge of on-track equipment that has already been issued work and time. Before joint work and time may be issued, the train dispatcher must first notify the engineer of train or employee in charge of on-track equipment affected that the DTC block will be jointly occupied. All movements must be made at restricted speed within joint work and time limits. or
- All trains issued Rule 16.3 (Movement in a Specified Direction) have passed the location where the track will be occupied and the employee receiving the DTC authority must be notified that work and time is granted behind such trains as prescribed by Rule 16.2 (DTC Authority).

A train or on-track equipment issued work and time may occupy the designated block and move in either direction.

An employee in charge of on-track equipment granted work and time behind a train must not pass train(s) specified.

GCOR 16.4 Continued

Work and Time

B. Issue Format

One or Two Blocks.

The train dispatcher will issue work and time and an employee will acknowledge it using the following sample format:

Train Dispatcher: "RR 4321 East, with Engineer Jones, I am granting you work and time in one block, Anna, until 10:10 AM."

Crew Member: "RR 4321 East, with Engineer Jones, I am granted work and time in one block, Anna, until 10:10AM."

Train Dispatcher: "RR 4321 East, that is correct."

GCOR 16.4 Continued

Work and Time

B. Issue Format

More than Two Blocks.

The train dispatcher will issue authority in more than two blocks using the following sample format:

Train Dispatcher: "RR 4321 East, with Engineer Jones, I am granting you work and time in 3 blocks, Anna through Cloy, until 10:10 AM."

Crew Member: "RR 4321 East, with Engineer Jones, I am granted work and time in three blocks, Anna through Cloy, until 10:10 AM."

Unless the train and/or employee in charge of on-track equipment receives a time extension, they must clear the block and report "Released" before the time limit expires. The train dispatcher may issue an unspecified time limit by using the words "until released."

A train dispatcher must not authorize a train to enter a DTC block under Rule 16.3 (Movement in a Specified Direction) until work and time in that block is released.

GCOR 16.4 Continued

Work and Time

C. Additional Time

Trains or the employee in charge of on-track equipment must release work and time before the time granted expires. If the train or employee in charge requires additional time, the authority must be obtained from the train dispatcher before time expires. If a train crew member or employee in charge is unable to contact the train dispatcher, and the time limit expires, authority is extended until the train dispatcher is contacted.

GCOR 16.5

Changing DTC Authority

When it becomes necessary to change the authority previously granted to a train, a new authority will be issued in accordance with Rule 16.3 (Movement in a Specified Direction) or Rule 16.4 (Work and Time).

After the "(_____), that is correct" response is received from the train dispatcher, the authority previously granted becomes void.

The train dispatcher must notify the engineer before withdrawing previously issued DTC authority.

GCOR 16.6

Releasing DTC Authority

Unless the train dispatcher specifies otherwise, when a train with directional authority clears a DTC block, an employee will immediately release it to the train dispatcher. The train must not re-enter the DTC block it has been released from.

Before a DTC block is released, engineer and conductor must communicate with each other and confirm that their train is clear of DTC block(s) to be released.

A. Release Format

One or Two Blocks.

An employee will release a DTC block, and the train dispatcher will acknowledge it using the followingsample format:

Crew Member: "RR 4321 East, with Engineer Jones, I am releasing one block, Anna."

Train Dispatcher: "RR 4321 East, with Engineer Jones, you are releasing one block, Anna." Crew Member: "Train dispatcher, that is correct."

More than Two Blocks.

An employee will release more than two blocks using the following sample format: Crew Member: "RR 4321 East, with Engineer Jones, I am releasing three blocks, Anna

through Cloy."

A DTC block is not released until the employee releasing the block reports, "Train dispatcher, that is correct."

DTC Rules

GCOR 16.6 continued

- Releasing DTC Authority
- **B. Operating in Non-Signaled or Double Track Territory**

In non-signaled or double track territory, a train without a crew member on the rear of the train may release a DTC block only when the complete train is clear of the limits, which is determined by one of the following:

- The rear of the train has an operating rear-end telemetry device, and the air pressure on the head-end device indicates brake pipe continuity.
- 2. An employee verifies that a marker is on the rear of the train.
- 3. A crew member can observe the rear car of the train on which the marker has been placed.
- 4. A trackside warning detector transmits an axle count for the train, and the axle count duplicates the axle count transmitted by the previous trackside warning detector.

In addition, a train clearing in a siding or other track must comply with requirements outlined in Rule 8.3 (Main Track Switches) before reporting clear of the limits.

DTC Rules

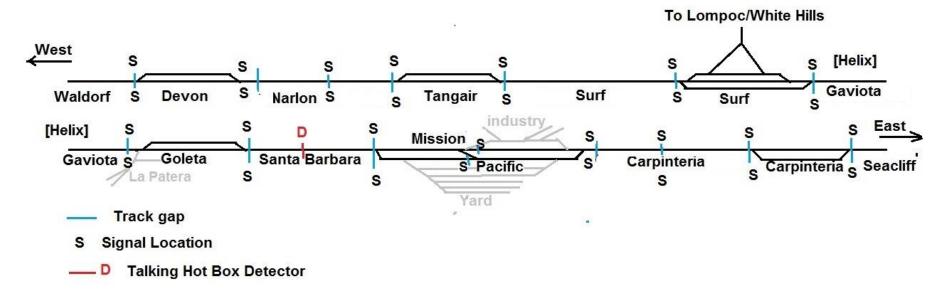
GCOR 16.7

Communication Failure

If communication fails, a third party may relay the authority to enter and/or release a DTC block as follows:

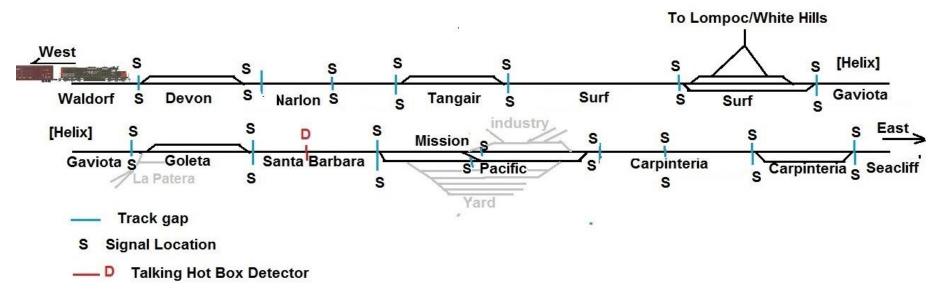
- The train dispatcher must transmit the DTC authority to the third party.
- The third party must repeat it back to the train dispatcher.
- If correct, the train dispatcher will respond, "(Third Party Identification), that is correct for relay," which authorizes the third party to transmit the DTC authority to a crew member.
- The crew member receiving the DTC authority must repeat it back to the third party.
- If correct, the third party will respond, "(______), that is correct" and inform the train dispatcher that DTC authority has been relayed correctly.

How DTC Works Railroad Separated into Blocks



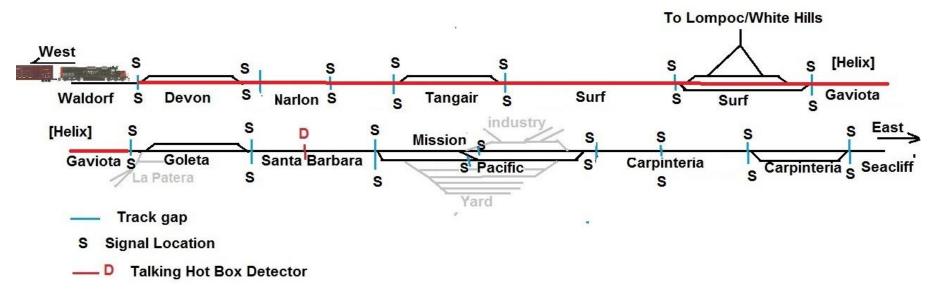
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How DTC Works Authority Given and Recorded in Writing



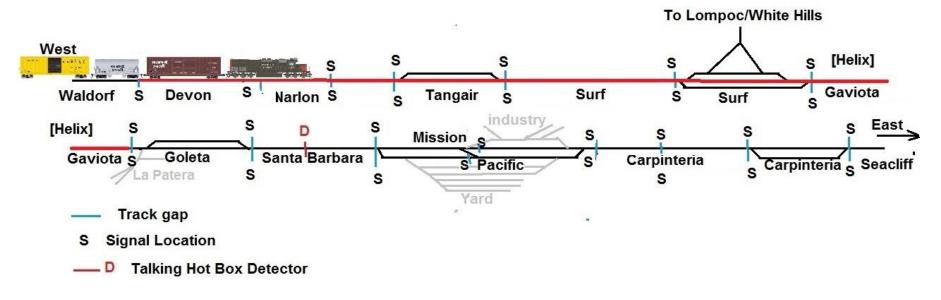
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How DTC Works Authority Given and Recorded in Writing



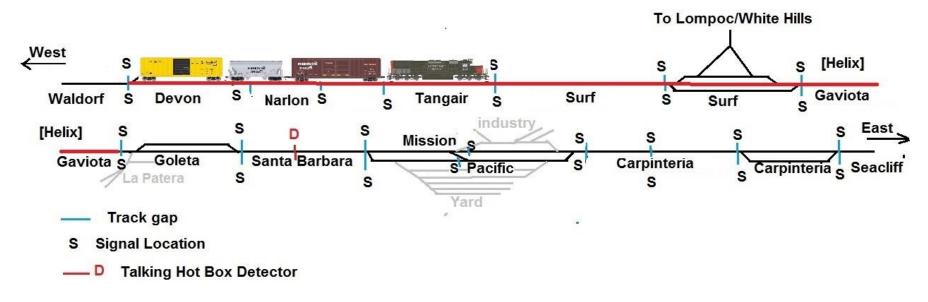
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CLOCK TIME LIMIT	TRACK#2 PACIFIC BLOCK	CLOCK TIME LIMIT	CLOCK TIME LIMIT							

How DTC Works Authority Released



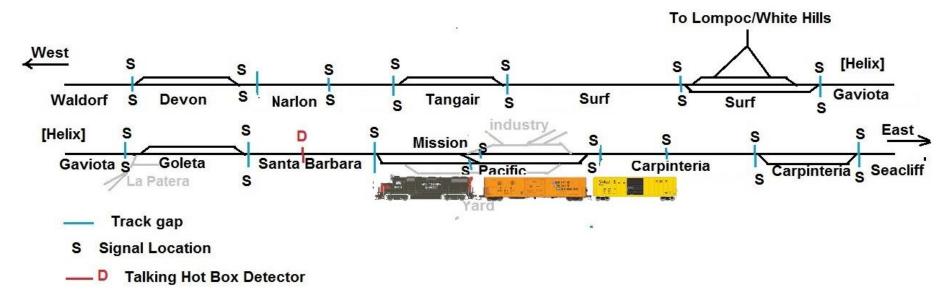
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	CLOCK TIME LIMIT	TRACK#2 PACIFIC BLOCK	CLOCK TIME LIMIT	CLOCK TIME LIMIT							

How DTC Works Authority Released



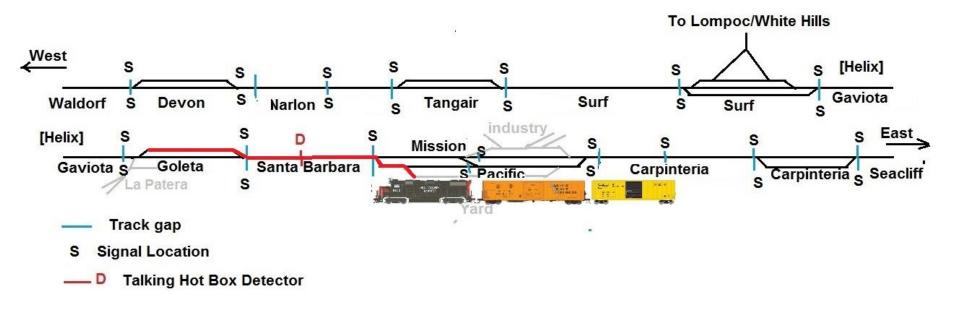
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How DTC Works Authority Given and Recorded in Writing



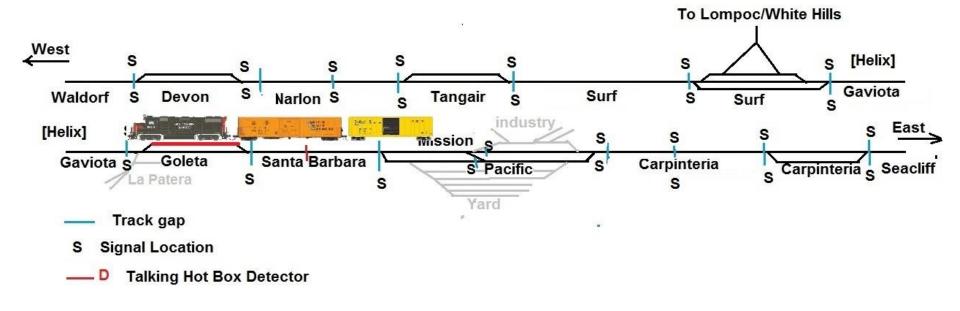
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How DTC Works Authority Given and Recorded in Writing



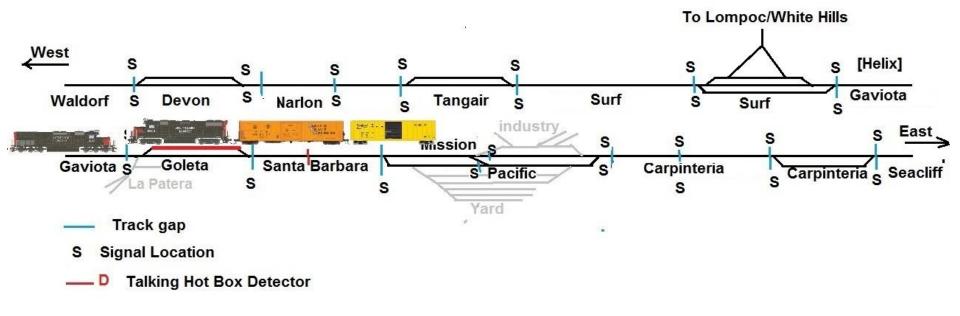
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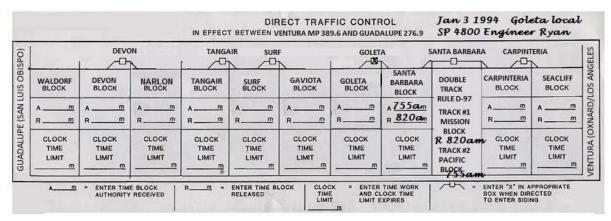
How DTC Works Authority Released



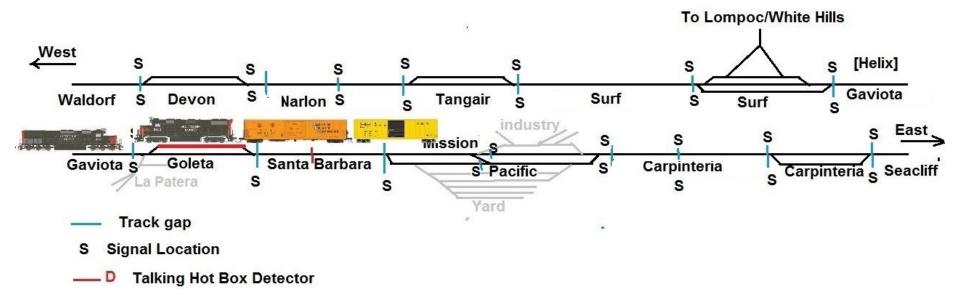
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How DTC Works Meets



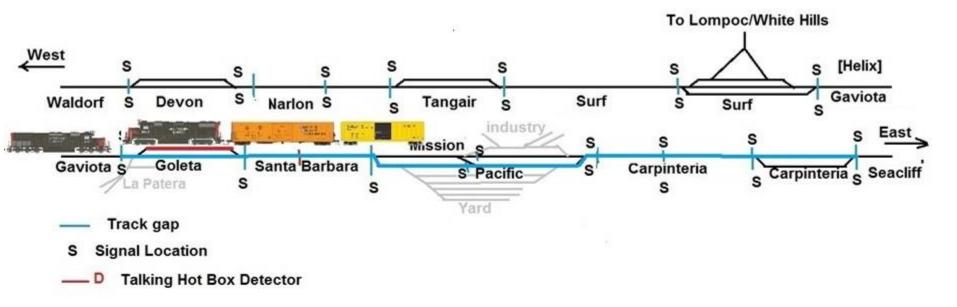


How DTC Works Authority Released



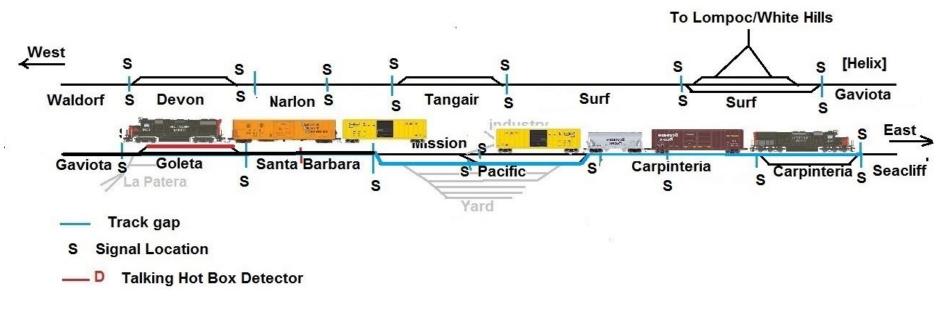
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How DTC Works Authority Given and Recorded in Writing



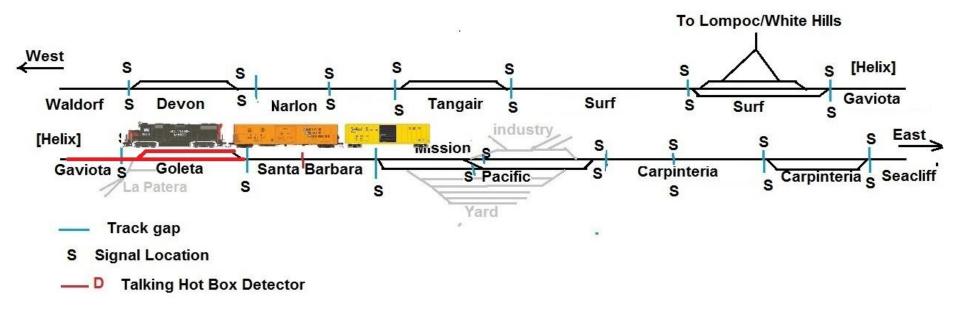
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How DTC Works Authority Released



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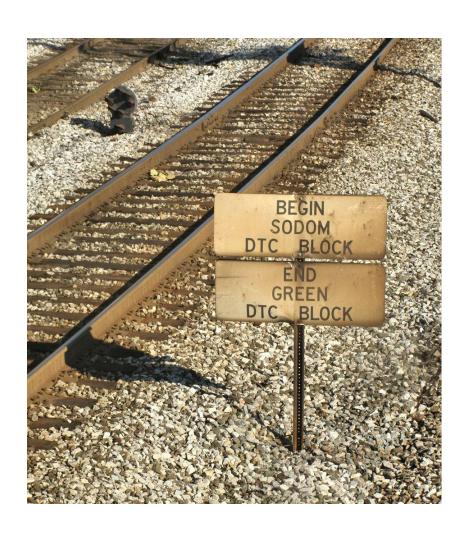
How DTC Works Work and Time Authority



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Signs -CSX





Signs –SP CA and KS





Signs – Oregon SP



Signs - NWP



Signs – Alaska RR



Signs - CA



Signs on the Model

DEVON

WALDORF

Model signs



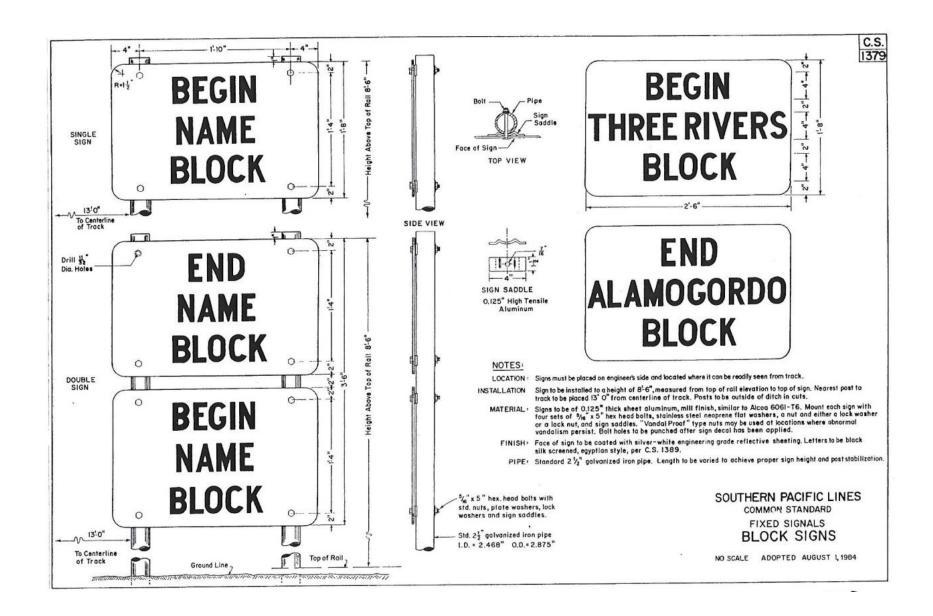
Fascia signs

Signs

Microscale part 87-1401



Southern Pacific Common Standard



Timetable



LOS ANGELES DIVISION TIMETABLE



EFFECTIVE SUNDAY, APRIL 10, 1994 AT 12:01 A.M.

> G. P. MICHAEL Vice President - Operations

A. M. HENSON Vice President - Transportation

> T. B. CHASE General Manager

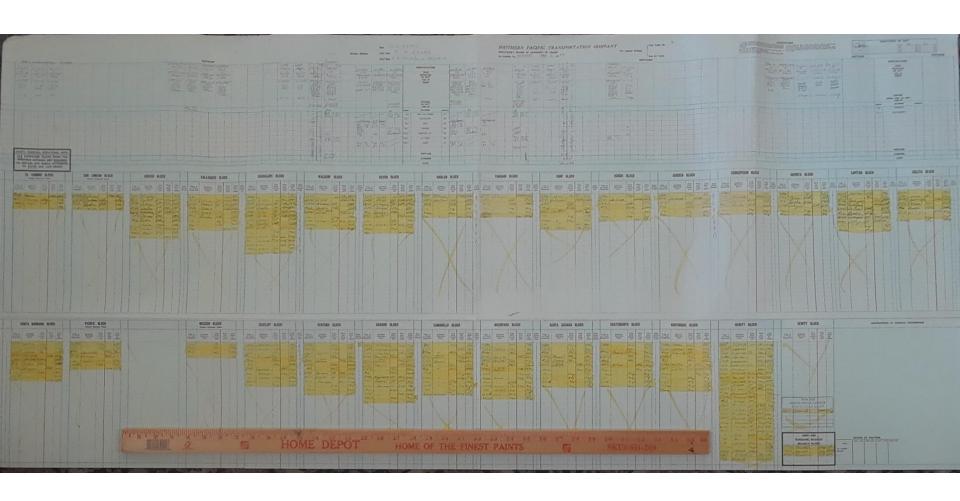
M. L. IRVINE
Superintendent

RULE 16.1. Direct Traffic Control Designated Limits:

East MP-	Block Name	West MP	East MP	Block Name	West MP
	Coast Line		339.7	. Gaviota	325.3
453.1 .	. Northridge	445.3	325.3	. Concepcion	317.5
445.3 .	Chatsworth	437.6	317.5	. Sudden	308.0
437.6 .	Santa Susana	432.9	308.0	. Honda	303.2
426.4	Moorpark	416.2	303.2	. <u>Surf</u>	298.1
416.2	Camarillo	408.8	298.1	. Tangair	293.9
408.8	Oxnard	399.2	293.9	. Narlon	286.9
399.2	Ventura	389.6	286.9	. Devon	280.9
389.6	Seacliff	371.9	280.9	. Waldorf	276.9
	No. 1 Track		276.9	. Guadalupe	270.7
371.9	Mission	368.4	270.7	. Callender	264.9
	No. 2 Track		264.9	. Grover	254.8
371.9	. Pacific	368.4		No. 1 Track	254.0
368.4	. Santa Barbara	362.9	254.8	. San Simeon	251.8
362.9	. Naples	349.9		No. 2 Track	451.0
349.9	. Capitan	339.7	254.8	. El Camino	251.8

RULE 16.3. May be granted for Westward movement on westward track in the Mission and San Simeon blocks or for Eastward movement on eastward track in the Pacific or El Camino blocks. Movements against the current of traffic may only be made under the provisions of Rule 16.4.

Dispatcher's Train Sheet

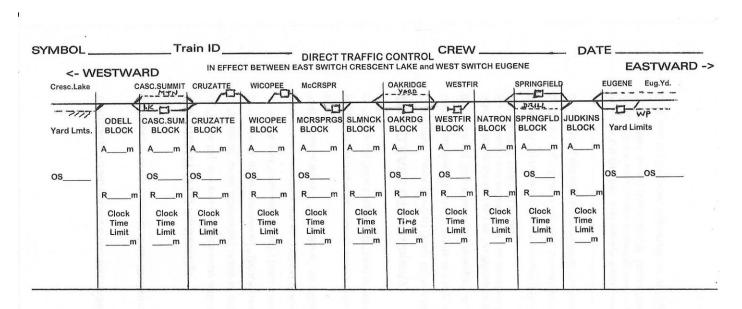


Dispatcher's Train Sheet

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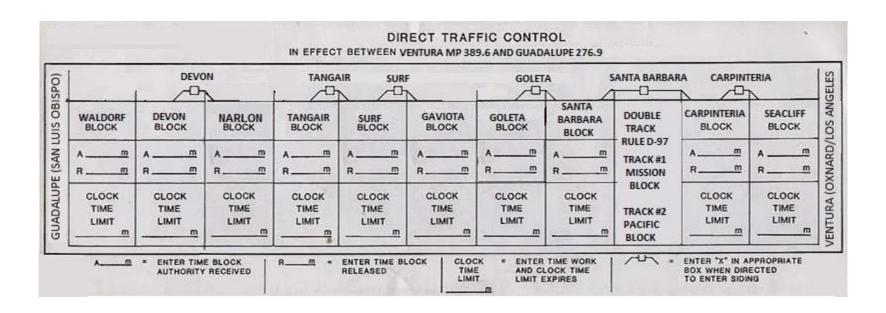
Forms

• Engine Crew forms

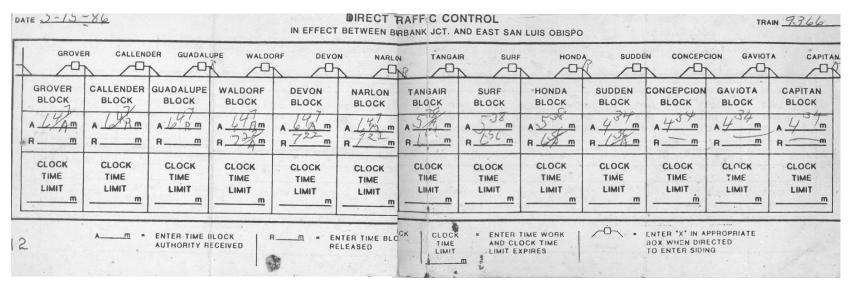


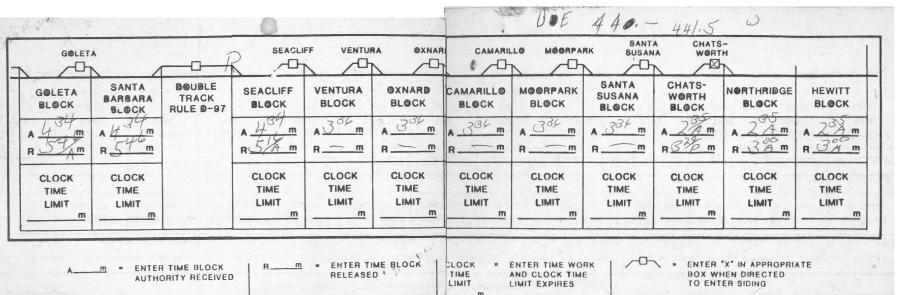
Forms

Engine Crew forms



Prototype Forms





Signals

 Southern Pacific used Absolute Block Signals

 Showcase Miniatures with tri-color LED





 Dennis Drury Dual ABS Signal Controller – MRCS <u>http://www.modelrailroadcontrolsystems.com/dual-abs-signal-controller/</u>

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 https://www.youtube.com/watch?v=K ABmLoLXhs

